S/N 10/717,309 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 Applicant:
 Darrell King et al.
 Examiner: Edward H. Tso

 Serial No.:
 10/717,309
 Group Art Unit: 2838

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Title: SYSTEM AND METHOD FOR DETERMINING AND BALANCING STATE

OF CHARGE AMONG SERIES CONNECTED ELECTRICAL ENERGY

STORAGE UNITS

STATEMENT CLAIMING SMALL ENTITY STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby state that I am an official of the small business concern empowered to act on behalf of the assignee of record Tiax LLC, 15 Acom Park, Cambridge, MA 02140 and that the identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

Pursuant to 37 C.F.R. §3.73(b) I hereby declare that I, Kenan Sahin, am empowered to sign this certificate on behalf of TIAX LLC, the assignee. The assignment is recorded at Reel 014458. Frame 0120.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true.

Date Dec 3 coc By Title: (CC)